State of California—Health and Human Services Agency

Department of Health Services



California
Department of
Health Services
SANDRA SHEWRY
Director



February 22, 2007

TO:

PROSPECTIVE APPLICANTS

SUBJECT:

REQUEST FOR APPLICATIONS (RFA) TCS 07-100 TOBACCO

CONTROL INTERVENTIONS FOR PRIORITY POPULATIONS - ADDENDUM NUMBER 1

On February 5, 2007, the California Department of Health Services, Tobacco Control Section (TCS) released RFA 07-100 entitled, "Tobacco Control Interventions for Priority Populations." Since the release of the RFA, corrections/changes were made that need to be incorporated into your copy of the RFA.

Listed below are the replacement pages for your copy of the RFA. Please discard the original pages and insert the replacement pages. The bold/underline indicates additions and a vertical line in the left-hand margin indicates where the change took place.

Discard Insert

Pages 14 and 15
Pages 27 and 28
Pages 27 and 28

Page 59 None Page 59

Should you have any questions regarding this addendum, please contact Steve Schell, Contract Manager, TCS, at (916) 449-5489.

Assistant Chief

Tobacco Control Section

Enclosure

II. GENERAL GRANT PROPOSAL INFORMATION

A. Who May Apply

1. California public or private non-profit organizations are eligible to apply for these funds. Only one application may be submitted per organization/organizational unit. For applicants claiming private non-profit status, either certification from the State of California, Office of Secretary of State, or a letter from the Department of the Treasury, Internal Revenue Service, classifying the applicant administrative agency as a private non-profit MUST BE INCLUDED with the submission of the application. The certification and a sample letter are provided in Appendix B and C. Applications from public organizations (e.g., city, county, and universities) are not required to submit documentation to certify non-profit status.

Note: These forms must be scanned and uploaded into the Online Tobacco Information System (OTIS) as part of the on-line application process prior to submission.

- 2. As referenced in H&S Code Section 104440 "LLAs shall be ineligible for awards under the competitive grants program, unless the LLA is a participant within a consortium of community-based organizations or nonprofit organizations." A consortium application must be composed of two or more organizations.
- 3. State of California agencies, other than state universities and colleges, are not eligible for these funds.
- 4. Any agency, with the exception of universities and colleges, that receives funding from, or has an affiliation or contractual relationship with a tobacco company, any of its subsidiaries, or parent company, during the term of the contract, is not eligible for funding under this RFA. Agency certification to this effect is required on Attachment 1. An electronic version of this form is available at: www.dhs.ca.gov/tobacco/html/funding.htm#rfa07-100, Supplemental Materials. See Appendix D for a partial list of the tobacco company subsidiaries.

Note: Attachment 1 must be completed and uploaded into OTIS as part of the on-line application process prior to submission.

With regard to universities and colleges, any Principal Investigator (PI), or any investigator associated with this application, who within the last five years from the start date of the contract period, or during the term of the contract, receives or has received funding from, or has an affiliation or contractual relationship with a tobacco company, any of its subsidiaries, or parent company, is **not eligible** for funding under this RFA. The PI's certification is required on Attachment 1.

B. Grant Period and Funding Levels

- 1. Approximately \$5.3 million is expected to be available for this RFA. Award amounts are contingent upon the use of multiyear spending authority and available revenues. Funding from FY 2007-08 and any subsequent FY is contingent upon available revenues and appropriation by the Legislature and the Governor.
- 2. Awards are expected to range from \$300,000 up to a maximum of \$600,000 for the grant period beginning July 1, 2007, and ending June 30, 2010.

 Applications must be for the entire 36 month period. CDHS/TCS will have the option of renewing the grant(s) for an additional two years if funds are available and the grantee has performed to the satisfaction of CDHS/TCS.
- 3. CDHS/TCS reserves the right to fund any or none of the applications submitted in response to this RFA. CDHS/TCS may also waive any immaterial deviation in any application. CDHS/TCS waiver of any immaterial deviation(s) shall not excuse an application from full compliance with the contract terms if a contract is awarded.
- 4. CDHS/TCS reserves the right to withdraw any award if an acceptable Scope of Work (SOW), Budget, Budget Justification, and other CDHS/TCS required forms are not received by CDHS/TCS within 45 calendar days of being negotiated by CDHS/TCS and the awardee.
- 5. Expenses associated with preparing and submitting an application are solely the responsibility of the applicant agency and will not be reimbursed by CDHS/TCS.
- 6. CDHS/TCS reserves the right to withdraw any award or negotiate the SOW of any proposed projects or proposed project components.
- 7. The awardee certifies that it has appropriate systems and controls in place to ensure that State funds will not be used in the performance of this grant for the acquisition, operation, or maintenance of computer software in violation of copyright laws.
- 8. CDHS/TCS will send to each awardee, along with the official award notification, a copy of the grant language. Changes to this language will <u>not</u> be negotiated at any time during the negotiation process. Please refer to the draft language for the "Contract Terms and Conditions" at www.dhs.ca.gov/tobacco/html/funding.htm#rfa07-100.

C. Application Criteria and Instructions

For each application component, general information is provided about the content of the application. When applicable, the total points for the component will be stated for scored sections as well as the minimum requirements for that component.

1. My Agency

<u>Component Description</u>: The *My Agency* area of OTIS provides universal information about the applicant agency: the agency's name, contact information, federal identification number, and the health jurisdiction which the agency is located. From *My Agency*, you can also request additional OTIS user accounts.

Maximum Point Value: 0

<u>Maximum Requirements</u>: Completes agency name, agency short name, federal identification number, identifies the health jurisdiction in which the agency is physically located, provides agency phone number, agency fax number, agency mailing address, and agency physical address.

Instructions: The *My Agency* area of OTIS is accessed by clicking on the My Agency link at the bottom of the OTIS screen. To get to the screen with the My Agency link, you first must click on procurement tools. See the *OTIS User Guide*, *Section B: Contact Information* for data entry instructions.

2. Contact Information

<u>Component Description</u>: Provide general contact information for the project director, fiscal contact, and agency signatory.

Maximum Point Value: 0

Minimum Requirements: Contact information is complete and accurate.

<u>Instructions</u>: See *OTIS User Guide*, *Section B: Contact Information* for data entry instructions.

3. Background Information

a. Demographic Information

<u>Component Description</u>: The majority of the information on this form is pre-populated with 2000 U.S. Census data for the local health jurisdiction in which your agency is located. Applicants must identify the geographic characteristic of the health jurisdiction and whether an American Indian casino is located within the service area. This information is provided in the application largely as a benefit to the application reviewers.

Maximum Point Value: 0

Minimum Requirements: The geographic characteristic of the health jurisdiction (i.e., rural, suburban, or urban) and the presence of an American Indian casino within the service area are identified and appropriate. **See Appendix L.**

<u>Instructions</u>: Please refer to the *OTIS User Guide, Section V, Application, C. Background Information: Demographic Profile* for more details.

b. Media Profile

<u>Component Description</u>: The information in this component provides a description of the top five (5) major media outlets serving the project's service area. The description provides the name of the outlet, the format (radio, TV, print media, etc.), and the primary language of the outlet.

Maximum Point Value: 0

Minimum Requirements: At a minimum, identifies the top five major media outlets in the applicant's geographic area, provides the name of the outlet, the format of the media, and the primary language for media dissemination by the outlet.

<u>Instructions</u>: See the *OTIS User Guide*, *Section V*, *Application*, *C. Background Information: Media Profile* for data entry instructions.

4. Evaluator Information

Evaluator Minimum Requirements

<u>Component Description</u>: The Local Program Evaluator (LPE) provides information related to his/her evaluation training, expertise, and contact information. The LPE certifies that he/she participated in the development of the evaluation plan. The applicant agency links a LPE identified in the LPE Directory with their application.

a. Evaluator Profile

Identify the individual designated as the LPE for the project. The LPE must complete the profile in the electronic LPE Directory which can be accessed at http://tcsotis.org/public/evaluator/index.cfm. The profile describes the LPE's experience, qualifications, skills and availability, and tobacco-related publications. Once completed, applicants are to access the LPE Directory to identify and select their LPE.

VI. TABLE OF CONTENTS FOR ENCLOSED APPENDICES

APPENDIX		PAGE	
A.	California's Tobacco Control Program	*****	60
В.	California Secretary of State Statement By Domestic Nonprofit Corporation	n	65
C.	Department of Treasury IRS Form L-178		67
D.	Partial List of Tobacco Subsidiary Products		70
E.	Intellectual Property Rights		75
F.	Comparable State Civil Service Classifications	••••	81
G.	Travel Reimbursement Information	••••	82
H.	Contract Uniformity	••••	84
1.	Midwest Academy Strategy Chart Template	••••	86
J.	RFA Avaya Information Meeting and On-line Application Training	••••	87
K.	Computer Hardware/Software Minimum Specifications	••••	94
L.	American Indian Casinos by County	•••••	95

American Indian Casinos by County

COUNTY	ESTABLISHMENT	CITY	ZIP
Amador	Jackson Rancheria's Resort & Casino	Jackson	95642
Butte	Feather Falls Casino	Oroville	95966
	Gold Country Casino	Oroville	95966
Colusa	Colusa Casino & Bingo	Colusa	95932
Contra Costa	San Pablo Lytton Casino	San Pablo	94806
Del Norte	Elk Valley Casino	Crescent City	95531
	Lucky 7 Casino	Smith river	95567
Fresno	Mono Wind Casino	Auberry	93602
	Table Mountain Casino & Bingo	Friant	93626
Humboldt	Cher-Ae Heights Casino	Trinidad	95570
	Blue Lake Casino	Blue Lake	95525
	Lucky Bear Casino	Hoopa	95546
Im p erial	Quechan Paradise Casino	Winter Haven	92283
Inyo	Paiute Palace Casino	Bishop	93514
Kings	Palace Indian Gaming Center	Lemoore	93245
Lake	Robinson Rancheria	Nice	95464
Larc	Konocti Vista Casino & Bingo	Lakeport	95453
	Twin Pine Casino	Middletown	95461
_assen	Diamond Mountain Casino	Susanville	96130
Lassen Madera			93614
Madera Mendocino	Chukchansi Gold Resort	Coarsegold	
wiendocino	Black Bart Casino	Willits	92539
	Hopland Sho-Ka-Wah Casino & Bingo	Hopland	95499
	Red Fox Casino	Laytonville	95454
	Coyote Valley Shodakai	Redwood Valley	95418
Modoc	Alturas Casino	Alturas	96101
	Desert Rose Casino	Alturas	96101
Placer	Thunder Valley Casino	Lincoln	95648
Riverside	Spa Hotel & Casino	Palm Springs	92262
	Soboba Legends Casino	San Jacinto	92581
	Agua Caliente Casino	Rancho Mirage	92270
	Pechanga Gaming Center	Temecula	92592
	Spotlight 29 Casino	Coachella	92236
	Morongo Casino Resort Spa	Cabazon	92230
	Cahuilla Creek Casino	Anza	92539
	Augustine Casino	West Coachella	92236
	Fantasy Springs Casino	Indio	92203
San Bernardino	Havasu Landing Resort	Havasu lake	92363
	San Manuel Indian Casino	Highland	92346
San Diego	Barona Casino	Lakeside	92040
Jan Diego	Pala Casino	Pala	92059
	Golden Acorn Casino		91906
		Campo Valley Cantar	
	La Jolla Indian Slot Arcade	Valley Center	92082
	Viejas Casino and Turf	Alpine	91901
	Sycuan Indian Gaming	El Cajon	92019
	Harrah's Rincon Casino & Resort	Valley Center	92082
	Valley View Casino	Valley Center	92082
 	Casino Pauma	Pauma Valley	92061
Santa Barbara	Chumash Casino	Santa Ynez	93460
Shasta	Pit River Casino	Burney	96013
	Win River Casino	Redding	96001
Sonoma	River Rock Casino	Geyserville	95441
Tehama	Rolling Hills Casino	Corning	96021
Tulare	Eagle Mountain Casino	Porterville	93258
Tuolumne	Chicken Ranch Casino	Jamestown	95327
	Black Oak Casino	Tuolumne	93614
Yolo	Cache Creek Casino	Brooks	95606